

**PATENT**  
Attorney Docket No. UCSF-10073

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: **Joel Palefsky et al.**  
 Serial No.: **10/551,704** Group No.:  
 Filed: **09/30/2005** Examiner:  
 For: **Immunomodulatory Agents For Treatment Of Inflammatory Diseases**

**TRANSMITTAL**

**MS PCT**  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)**

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: January 18, 2007

By:   
 Cliff Cannon-Cin

Dear Sir or Madam:

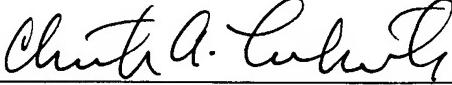
In response to the Notification of Missing Requirements under 35 U.S.C. 371 mailed December 8, 2006 (a copy of which is enclosed), Applicants submit the following documents:

- ▶ a Declaration;
- ▶ a Power of Attorney by Assignee (an Assignment to The Regents of the University of California has already been submitted for recordation by facsimile);
- ▶ a Sequence Listing in paper copy and on a computer-readable diskette;
- ▶ a Certificate re: Sequence Listing; and
- ▶ a Preliminary Amendment.

A check in the amount of \$65 is enclosed herewith to cover the surcharge for filing missing parts of an application.

In addition, the Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: January 18, 2007

By: 

Christine A. Lekutis  
 Registration No. 51,934

01/23/2007 LLANDGRA 00000053 10551704

01 FC:2617

65.00 OP

MEDLEN & CARROLL, LLP  
 101 Howard Street, Suite 350  
 San Francisco, California 94105  
 (415) 904-6500



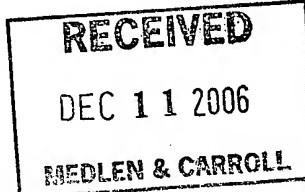
UNITED STATES PATENT AND TRADEMARK OFFICE

SF

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/551,704	Joel Palefsky	UCSF-10073

Medlen & Carroll  
 101 Howard Street, Suite 350  
 San Francisco, CA 94105



INTERNATIONAL APPLICATION NO.
PCT/US04/10244

I.A. FILING DATE	PRIORITY DATE
04/02/2004	04/04/2003

CONFIRMATION NO. 5978  
**371 FORMALITIES LETTER**



\*OC000000021524034\*

Date Mailed: 12/08/2006

*Rec'd. 2/8/07 recd*

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 09/30/2005
- Copy of the International Search Report filed on 09/30/2005
- U.S. Basic National Fees filed on 09/30/2005
- Priority Documents filed on 09/30/2005
- Specification filed on 09/30/2005
- Claims filed on 09/30/2005
- Abstracts filed on 09/30/2005
- Drawings filed on 09/30/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$65** for a Small Entity:

**COPY**

- \$65 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

TAMALA D HOLLAND

---

Telephone: (703) 308-9140 EXT 209

**PART 1 - ATTORNEY/APPLICANT COPY**

---

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/551,704	PCT/US04/10244	UCSF-10073

FORM PCT/DO/EO/905 (371 Formalities Notice)